

**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT Sheet 1 of 1**

Docket No. G0003/7253

Applicant: Barker et al.
Serial No: 10/816,500
Filed: April 1, 2004
For: PREFORM ASSEMBLY, CONTAINER ASSEMBLY AND METHOD OF
MANUFACTURE
Examiner: TBA
Art Unit: 3727

U.S. PATENT DOCUMENTS

| Exam Inits | Cite No. | Document Number | Kind Code | Patentee or Applicant Name | Publication Date |
|---------------|-------------|-----------------|--------------|----------------------------|------------------|
| | | 4,715,504 | A1 | Chang et al. | 12/29/1987 |
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FOREIGN PATENT DOCUMENTS

| Exam Inits | Cite No. | Cy | Number | Kind Code | Patentee or Applicant Name | Publication Date | T |
|---------------|-------------|----|---------------|--------------|-------------------------------|------------------|--------------------------|
| | | | EP 1208957A | | Kikuchi, et al. | 5/29/2002 | <input type="checkbox"/> |
| | | | EP 0503086A | | Orimoto, et al. | 9/16/1992 | <input type="checkbox"/> |
| | | | EP 0415109A | | Chiba et al. | 3/6/1991 | <input type="checkbox"/> |
| | | | JP 08 151083A | | Nakamura, K. | 6/11/1996 | English Abstract |
| | | | JP 05 112348A | | Mori et al | 5/7/1993 | English Abstract |
| | | | JP 08 047967A | | Yamamoto, M. | 2/20/1996 | English Abstract |
| | | | | | | | <input type="checkbox"/> |

Examiner
Signature

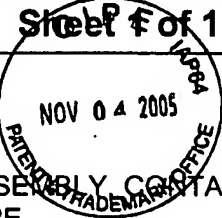
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Docket No. G0003/7253

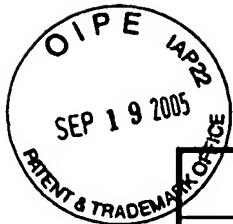
Applicant: Barker et al.
 Serial No: 10/816,500
 Filed: April 1, 2004
 For: PREFORM ASSEMBLY CONTAINER ASSEMBLY AND METHOD OF MANUFACTURE
 Examiner: TBA
 Art Unit: 3727



OTHER PRIOR ART – NON PATENT LITERATURE AND DOCUMENTS

| Exam Inits | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the articles (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T |
|---------------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| S | | International Search Report and Written Opinion mailed August 4, 2005 in corresponding application Serial No. PCT/US05/010614 | <input type="checkbox"/> |
| I | | SMITH, C., Blowing in the Wind, European Plastics News, vol. 31, no. 4, April 4, 2004, IPC Industrial Press Ltd., Sutton, Surrey, GB | <input type="checkbox"/> |
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| Examiner Signature | | Date Considered | 6/07 |
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| INFORMATION DISCLOSURE TRANSMITTAL | | Docket No. G0003/7253 |
|------------------------------------|----------------------------------------------------------------|-----------------------|
| Applicant: | BARKER et al. | |
| Serial No: | 10/816,500 | |
| Filed: | April 1, 2004 | |
| For: | PREFORM ASSEMBLY, CONTAINER ASSEMBLY AND METHOD OF MANUFACTURE | |
| Examiner: | TBA | |
| Art Unit: | 3727 | |

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| <p align="center">CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)</p> <p>The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 9/15/05</p> <p align="right"><i>Judi Williams</i> Judi Williams</p> |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicant wishes to bring information to the attention of the Examiner. The filing of this statement shall not be construed as a representation that a search has been made or as an admission that this information is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

Enclosures

- ☒ A form PTO-1449 listing this information is attached
- ☒ Copies of documents cited, other than U.S. patents and published U.S. patent applications, are enclosed. **Applicant just obtained (within last 3 months) an English translation of JP 52 [1977]-103283 (Suzuki) and is providing the Patent Office with a copy thereof.**

Fees

- ☒ This statement is filed before the later of (1) three months of (i) the filing of a national application or (ii) the entry date for the national stage of an international application or (2) the mailing date of a first office action on the merits. No fee is due.
- ☐ This statement is filed before the mailing date of a final office action, a notice of allowance or an action that otherwise closes prosecution, *and*
 - ☐ The submission fee of \$180.00 under 37 CFR §1.17(p) is enclosed, or
 - ☐ The following certification is made:
 - ☐ each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign

- application not more than three months prior to the filing of this statement,
or
- ☐ no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.
- ☐ This statement is filed on or before payment of the issue fee, the submission fee of \$180.00 under 37 CFR §1.17(p) is enclosed, *and*
- ☐ each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, or
- ☐ no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

Payment

- ☐ A check in the amount of the submission fee is enclosed.
- ☐ Charge Account No. 02-3038 in the amount of the submission fee. A duplicate of this transmittal sheet is attached.

Authorization to Charge Additional Fees

- ☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §1.16 and §1.17 required by the attached paper and during the entire pendency of this application to Account No. 02-3038.

Respectfully submitted,



Date: Sept 15, 2005

Therese A. Hendricks, Esq., Reg. No. 30,389
KUDIRKA & JOBSE, LLP
Customer Number 021127
Tel: (617) 367-4600 Fax: (617) 367-4656

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| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) (37 CFR 1.98(b)) | ATTY. DOCKET NO.18026 | SERIAL NO. |
| | APPLICANT Keith J. Barker et al. | |
| | FILING DATE Herewith | GROUP |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | PATENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|---------------|------------|------------------|-------|----------|-------------------------------|
| | 4 2 9 3 5 2 0 | 10/06/1981 | Akutsu | | | |
| | 4 5 0 7 2 5 8 | 03/26/1985 | Aoki | | | |
| | 4 7 1 5 5 0 4 | 12/29/1987 | Chang et al. | | | |
| | 5 4 4 7 7 6 6 | 09/05/1995 | Orimoto et al. | | | |
| | 5 7 6 2 9 7 0 | 06/09/1998 | Takashima et al. | | | |
| | 6 2 1 7 8 1 8 | 04/17/2001 | Collette et al. | | | |
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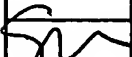
FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

| | DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY OR PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION | |
|--------------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| | | | | | | YES | NO |
| 8V ↓ V | 5 7 7 2 3 3 | 03/30/1993 | Japan | Z | | | |
| | 5 2 8 5 9 4 3 | 11/02/1993 | Japan | | | | |
| | 5 2 8 5 9 4 4 | 11/02/1993 | Japan | | | | |
| | 5 2 8 5 9 4 5 | 11/02/1993 | Japan | | | | |
| | 5 8 4 1 7 3 2 | 09/14/1983 | Japan | | | | |
| | 2 4 4 0 8 2 4 | 07.23.1980 | France | | | | |
| | 0 1 4 4 4 5 0 | 11/18/1983 | European | | | | |

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered.
 Include copy of this form with next communication to applicant.

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|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-------------------|
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| | APPLICANT Keith J. Barker et al. | |
| | FILING DATE Herewith | GROUP |

| | DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY OR PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION YES NO | |
|----------------------------------------------------------------------------------|-----------------|---------------------|-----------------------------|-------------------|-------------------|-----------------------|--|
|  | 0 3 0 2 1 1 7 | 02/09/1988 | European | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication***)**

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**EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered.
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